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| Application Number   | 09/539,132 |
| Filing Date          | 3/30/2000  |
| First Named Inventor | McDonough  |
| Art Unit             | 2164       |
| Examiner Name        | Felton     |

Sheet 1 of 1

Attorney Docket Number CMCDO.00001

*NON PATENT LITERATURE DOCUMENTS*

| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
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|  |              |                  | FILING DATE<br>03-30-00  | GROUP ART UNIT<br>214 UNKNOWN |                    |
| <b>U.S. PATENT DOCUMENTS</b>   |              |                  |  |                               |                    |
| EXAMINER INITIAL   | DOCUMENT NO. | PUBLICATION DATE | INVENTOR NAME  | CLASS/ SUBCLASS               | FILING DATE        |
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| DF   | 7. AG        | 5/13/86          | Laborde  | 4,588,192                     | 9/15/83            |
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| DF   | 9. AJ        | 1/98             | Electronic Trading on Futures Exchanges  |                               |                    |
| <b>FOREIGN PATENT DOCUMENTS</b>  |              |                  |  |                               |                    |
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| BT   | 10. AJ       | 12/88            | Cash and Futures Price Relationships for Nonstorable Commodities: An Empirical Analysis Using a General Theory   |                               |                    |
| BT   | 11. AK       | 1994             | Multiple Delivery Points, Pricing Dynamics, and Hedging Effectiveness in Futures Markets for Spatial Commodities |                               |                    |
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